

文章编号: 2095-6134(2022)04-0561-06

Brief Report

Finite-size scaling analysis of the Planck's quantum-driven integer quantum Hall transition in spin-1/2 kicked rotor model*

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(Received 30 November 2021; Revised 6 January 2022)

Zhang J L, Zhang L, Zhang F C. Finite-size scaling analysis of the Planck's quantum-driven integer quantum Hall transition in spin-1/2 kicked rotor model[J]. *Journal of University of Chinese Academy of Sciences*, 2022, 39(4): 561-566. DOI:10.7523/j.ucas.2022.003.

Abstract The quantum kicked rotor (QKR) model is a prototypical system in the research of quantum chaos. In a spin-1/2 QKR, tuning the effective Planck parameter realizes a series of transitions between dynamical localization phases, which closely resembles the integer quantum Hall (IQH) effect and the plateau transitions. In this work, we devise and apply the finite-size scaling analysis to the transitions in the spin-1/2 QKR model. We obtain an estimate of the critical exponent at the transition point, $\nu = 2.62(9)$, which is consistent with the IQH plateau transition universality class.

Keywords quantum kicked rotor; integer quantum Hall effect; critical phenomena; finite-size scaling

CLC number: O413.3 **Document code:** A **DOI:** 10.7523/j.ucas.2022.003

自旋 1/2 量子受激转子中 Planck 常数调节的整数量子霍尔效应转变的有限尺寸标度分析

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摘要 量子受激转子 (quantum kicked rotor, QKR) 是量子混沌研究中的重要模型之一。在自旋 1/2 的 QKR 中, 调节等效 Planck 常数可以在动力学局域化相之间实现一系列相变, 其形式与整数量子霍尔效应及其平台相变相似。研究这一相变的有限尺寸标度理论, 并将其应用

* Supported by the National Key R&D Program of China (2018YFA0305800), the National Natural Science Foundation of China (11804337 and 12174387), the Strategic Priority Research Program of CAS (XDB28000000), and the CAS Youth Innovation Promotion Association

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于自旋 1/2 的 QKR 模型。估计出相变的临界指数为 $\nu = 2.62(9)$, 符合整数量子霍尔效应平台转变的普适类。

关键词 量子受激转子; 整数量子霍尔效应; 临界现象; 有限尺寸标度

The kicked rotor model describes a particle moving on a circle and is kicked periodically by a space-dependent potential term. It is a prototypical model in the research of both classical and quantum chaos^[1-3]. For a sufficiently large kicking strength, the energy of a classical kicked rotor grows linearly with time, $E(t) \propto t$, as a result of the Brownian motion in the momentum space. However, such linear diffusive motion is suppressed in the long-time limit for a quantum kicked rotor (QKR) due to the destructive quantum interference, leading to a dynamical localization in the momentum space^[4]. The kicked rotor model has been generalized to higher dimensions and spinful particles^[5-12].

A particular interesting discovery is the Planck's quantum-driven integer quantum Hall (IQH) effect in a spin-1/2 QKR model^[13-14], which establishes a surprising bridge between chaotic systems characterized by the sensitivity to initial conditions, and the topologically robust IQH effect with a quantized Chern number. It is analytically shown that, by tuning the effective Planck's quantum h_e , the model defined in Eq. (2) exhibits an infinite number of "Hall plateau" transitions between the dynamically localized insulating phases. Each insulating phase is characterized by an integer σ_H analogous to the quantized Hall conductance of IQH plateaus. The critical metallic states at the transition points are predicted to possess a universal "longitudinal conductance" $\sigma^* = \lim_{t \rightarrow \infty} E(t)/t$, and belong to the universality class of the IQH plateau transitions. The emergence of these transitions has been observed in numerical simulations^[13-14]. However, precise calculations of the critical exponents at the "plateau transitions" have not been achieved, thus leaving the universality class of the transitions not fully confirmed.

In this work, we apply the finite-size scaling analysis to the plateau transitions in the spin-1/2 QKR model. With extensive numerical simulations

near the critical point and finite-size scaling analysis, we obtain an estimate of the critical exponent at the critical point $\nu = 2.62(9)$, which is consistent with the IQH plateau transition universality class.

1 The model

We shall study the spin-1/2 QKR model introduced in Ref. [15]. A spin-1/2 particle moves on a circle of unit radius and is kicked periodically by a potential field, whose strength depends on the position the particle. Denote the two-component spinor wavefunction by Ψ_t . Its dynamics is governed by the time-dependent Schrodinger equation,

$$ih_e \partial_t \Psi_t = H(t) \Psi_t, \quad (1)$$

in which the Hamiltonian is given by

$$H(t) = H_0(p_1, p_2) + V(\theta_1, \theta_2) \sum_{s \in \mathbb{Z}} \delta(t - s), \quad (2)$$

where θ_1 (modulo 2π) is the angular position of the particle, and $p_1 = ih_e \partial_{\theta_1}$ is the conjugate momentum operator. While the model is defined in two space dimensions, we shall show in Sec. 2.3 that it can be simulated effectively in 1D with the technique of dimension reduction. The canonical commutation relation is given by $[\theta_i, p_j] = ih_e \delta_{ij}$. The effective Planck's constant h_e is a tuning parameter in the model.

The generic form of the potential energy term is given by $V = V_i(\theta_1, \theta_2) \sigma^i$, where σ^i ($i = 1, 2, 3$) are the Pauli matrices. The Einstein summation convention is used. The potential energy term couples the spin and the angular position of the particle,

$$V(\theta_1, \theta_2) = (2 \arctan(2d)/d) \mathbf{d} \cdot \boldsymbol{\sigma}, \quad (3)$$

with the vector \mathbf{d} given by

$$\mathbf{d} = (\sin\theta_1, \sin\theta_2, 0.8(\mu - \cos\theta_1 - \cos\theta_2)). \quad (4)$$

This potential term of the spin-1/2 QKR model was first introduced in Ref. [15], which was inspired by the Qi-Wu-Zhang model of quantum anomalous Hall effect^[16]. A series of phase transitions driven by the effective Planck parameter h_e was found in

Refs. [13-14], which resembles the IQH plateau transitions in various significant aspects.

In this work, we shall focus on the latter case and fix $\mu = 1$ in the rest of this work.

1.1 Floquet operator

The nature of the long-time dynamics of the QKR can be obtained by inspecting the time-evolving state at integer time t . Given an initial state $|\Psi_0\rangle$ at $t_0 = 0$, the state at time t can be obtained by applying the Floquet operator t times on $|\Psi_t\rangle = \mathcal{F}^t |\Psi_0\rangle$, in which the Floquet operator \mathcal{F} is the time-evolution operator in one kicking period,

$$\mathcal{F} = e^{-iV(\theta)/\hbar_e} e^{-iH_0(p)/\hbar_e}. \quad (5)$$

In the angular position representation, $\mathbf{p} = -i\hbar_e \partial_\theta$. The Hamiltonian in Eq. (2) is 2π -periodic in θ , thus the eigenstates of the Floquet operator \mathcal{F} can be decomposed in the following form due to the Floquet-Bloch theorem,

$$\Psi_q(\theta) = e^{iq\theta} u(\theta), \quad (6)$$

where $\mathbf{q} = (q_1, q_2)$ with the constants $q_{1,2} \in (0, 1)$, and $u(\theta)$ is a 2π -periodic function of the angle variables θ . Therefore, for these eigenstates, $H_0(-i\hbar_e \partial_\theta)$ can be replaced by $H_0(-i\hbar_e \partial_\theta + \hbar_e \mathbf{q})$ acting on $u(\theta)$. The corresponding Floquet operator reads

$$\mathcal{F} = e^{-iV(\theta)/\hbar_e} e^{-iH_0(-i\hbar_e \partial_\theta + \hbar_e \mathbf{q})/\hbar_e}. \quad (7)$$

1.2 Mapping to the Anderson model

The QKR model can be mapped to the Anderson model of a particle moving in a quasi-disordered system, signifying the link between quantum chaos and Anderson localization^[4,17]. Let us first omit the spin degree of freedom and define the eigenstate of the Floquet operator by

$$\mathcal{F} |a_+\rangle = e^{-i\varepsilon} |a_+\rangle, \quad (8)$$

in which ε is called the quasi-energy. Here $|a_+\rangle$ is the eigenstate of the Floquet operator immediately after the kick. Define

$$|a_-\rangle = e^{iV} |a_+\rangle = e^{i\varepsilon - iH_0} |a_+\rangle, \quad (9)$$

which is the eigenstate before the kick, then $|a_\pm\rangle$ satisfy

$$|a_+\rangle = e^{-iV} |a_-\rangle \equiv \frac{1 - iW}{1 + iW} |a_-\rangle. \quad (10)$$

Define $|u\rangle = \frac{1}{2}(|a_+\rangle + |a_-\rangle)$, then we have

$$|a_+\rangle = (1 - iW) |u\rangle, \quad (11)$$

$$|a_-\rangle = (1 + iW) |u\rangle. \quad (12)$$

Substituting into Eq. (9), we find $|u\rangle$ satisfies the following secular equation,

$$W|u\rangle = \tan\left(\frac{\varepsilon - H_0}{2}\right) |u\rangle. \quad (13)$$

In the momentum space with a basis $\{|n\rangle\}$, where $p|n\rangle = \hbar_e n|n\rangle$, and with the spin indices recovered, we arrived at

$$\sum_{ns'} W_n^{ss'} u_{n+m}^{s'} + \tan\left(\frac{H_0(m) - \varepsilon}{2}\right) u_m^s = 0, \quad (14)$$

where $W_{n-m}^{ss'} = \langle m, s | W | n, s' \rangle$, and $u_m^s = \langle m, s | u, s \rangle$. This is an Anderson model in two dimensions, in which the kinetic term $H_0(m)$ in the QKR model plays the role of a quasi-disordered potential. From Eq. (10), the hopping matrix W in the Anderson model is given by

$$W = i \frac{1 - e^{iV}}{1 + e^{iV}} = \tan(V/2). \quad (15)$$

W is diagonal in the angular position representation. Given the form of V in Eq. (3), we find

$$W = 2\mathbf{d} \cdot \boldsymbol{\sigma}. \quad (16)$$

1.3 Dimension reduction

The 2D QKR model can be effectively reduced to 1D by choosing an incommensurate driving frequency in the second dimension^[5-6,18]. Consider the following separable kinetic energy term,

$$H_0(p_1, p_2) = H_0(p_1) + \omega p_2, \quad (17)$$

treat the second term as a “non-interacting” Hamiltonian, $\mathcal{H}_0 = \omega p_2$, and the rest part as the “interactions”, $\mathcal{H}_{\text{int}} = H_0(p_1) + V(\theta) \sum_{s \in Z} \delta(t - s)$, and then transform into the interaction picture,

$$\Psi_I = e^{i\mathcal{H}_0 t/\hbar_e} \Psi = e^{i\omega p_2 t/\hbar_e}, \quad (18)$$

and the transformed Hamiltonian is given by

$$H_I = e^{i\mathcal{H}_0 t/\hbar_e} \mathcal{H}_{\text{int}} e^{-i\mathcal{H}_0 t/\hbar_e} = H_0(p_1) + V(\theta_1, \theta_2 + \omega t) \sum_{s \in Z} \delta(t - s), \quad (19)$$

where the translation relation $e^{i\omega p_2 t/\hbar_e} V(\theta_2) e^{-i\omega p_2 t/\hbar_e} = V(\theta_2 + \omega t)$ is used. The Schrodinger equation in the interaction picture reads

$$i\hbar_e \partial_t \Psi_I = H_I \Psi_I, \quad (20)$$

in which the Hamiltonian is given by

$$H_I = H_0(p_1) + V(\theta_1, \theta_2 + \omega t) \sum_{s \in \mathbb{Z}} \delta(t - s). \quad (21)$$

This is a 1D model, which dramatically simplifies the following numerical calculations. The corresponding Floquet operator is given by

$$\mathcal{F}_q = e^{-iV(\theta_1, \omega t + \alpha)/h_e} e^{-iH_0(n_1 + q)/h_e}, \quad (22)$$

where $n_1 = p_1/h_e$. In the following numerical simulations, we adopt the kinetic term

$$H_0(p_1) = p_1^2, \quad (23)$$

and $\omega = \frac{2\pi}{\sqrt{5}}$, which is incommensurate with the

driving frequency in first dimension. This guarantees that the disorder potential produced by the kinetic term $H_0(p_1, p_2)$ is sufficiently quasi-random to induce dynamical localization in the equivalent Anderson model.

2 Numerical simulations

We work in the momentum representation in numerical simulations. The Hilbert space is truncated to be $2N$ -dimensional such that the momentum index $n \in [-N, N - 1]$. Two types of initial states are considered in our simulations. The first one is of the δ -function form,

$$\Psi_0(n) = \langle n | \Psi_0 \rangle = \delta_{n,0} \begin{pmatrix} e^{-i\varphi/2} \cos(\phi/2) \\ e^{i\varphi/2} \sin(\phi/2) \end{pmatrix}, \quad (24)$$

while the second is a Gaussian wave-packet given by

$$\Psi_0(n) \propto e^{-\frac{(-n_0)^2}{2\sigma^2}} \begin{pmatrix} e^{-i\varphi_n/2} \cos(\phi_n/2) \\ e^{i\varphi_n/2} \sin(\phi_n/2) \end{pmatrix} \quad (25)$$

up to a normalization factor. We choose $n_0 = 0$ and several different σ in our simulations and find that the diffusion rates defined below in the late-time dynamics are not sensitive to the choice of initial states. Below we show results obtained with the initial states of the δ -function.

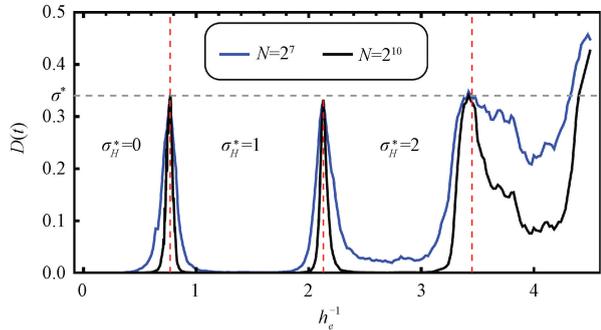
The diffusion rate is defined by

$$D(t) = \frac{\Delta^2(t)}{t}, \quad (26)$$

where $\Delta^2(t) = \frac{1}{2} \overline{\langle \Psi_t | n^2 | \Psi_t \rangle}$, and the rotor

energy $E(t) = h_e^2 \Delta^2(t)$. $\overline{\langle \dots \rangle}$ is the ensemble average over uniformly distributed $\alpha \in [0, 2\pi)$ and $q \in [0, 1)$, and the angle variables of the initial state ϕ and φ (or ϕ_n and φ_n) uniformly distributed on the Bloch sphere. We find that both types of initial states give rise to the almost same diffusion rate after the ensemble average.

The long-time diffusion rate $D(t)$ as a function of Planck's parameter h_e for $0 \leq h_e^{-1} \leq 4.5$ is plotted in Fig. 1. The QKR exhibits dynamical localization with $D(t) \rightarrow 0$ as $t \rightarrow \infty$ for a generic h_e , but undergoes transitions with nonzero diffusion rate near $h_e^{-1} = 0.77, 2.13, \text{ and } 3.45$.



We take ensemble average over 400 different values of $\alpha \in (0, 2\pi)$ and $q \in (0, 1)$. We set $t = N^2/4$. The transitions occur near

$$h_e^{-1} = 0.77, 2.13, \text{ and } 3.45 \text{ as indicated with red}$$

dashed lines. The diffusion rates converge to a universal value

$$\sigma^* \approx 0.33 \text{ (gray dashed line) at these critical points.}$$

Fig. 1 Long time diffusion rate of the QKR model as a function of h_e^{-1} for $N=2^7$ (blue line) and $N=2^{10}$ (black line)

Finite size scaling analysis

We then zoom in and carry out extensive simulations near $h_e^{-1} = 0.77$ and 2.13 for various N and set $t = N^2/4$. The results are shown in Fig. 2. We find that the data near $h_e^{-1} = 0.77$ are not quite smooth even after the ensemble average and show a bunch of peaks and dips, which might be attributed to the semiclassical effect in the early-time dynamics^[19-20]. We thus focus on the data near $h_e^{-1} = 2.13$.

In the long-time limit, the diffusion rate $D(t)$ goes to zero for an insulating state with dynamical localization in the momentum space, and approaches a nonzero value for a metallic state. According to the scaling theory of Anderson localization, the diffusion

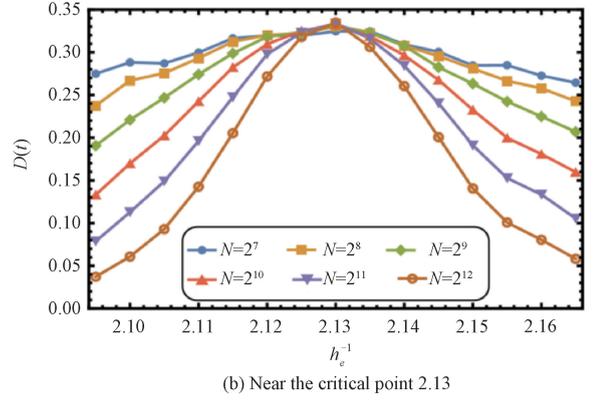
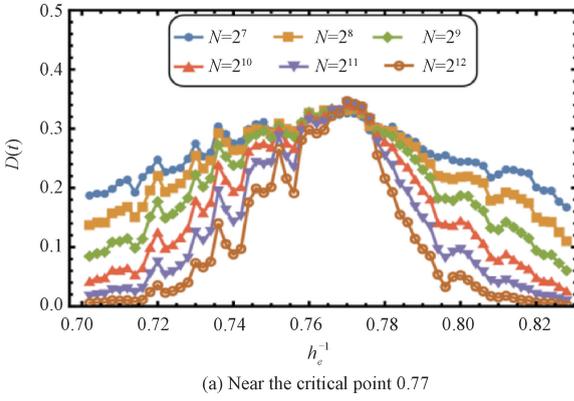


Fig. 2 Long-time diffusion rate of the QKR model as a function of h_e^{-1} near the critical point (a) 0.77 and (b) 2.13 for various N (We set $t=N^2/4$) values

rate obeys the one-parameter scaling law. Near the critical point, the diffusion rate has the scaling form,

$$D(h, t) = \xi^{2-d} F(\xi^{-d} t). \quad (27)$$

Here ξ is the localization length, which diverges as $\xi \propto |\delta h|^{-\nu}$ with $\delta h = h_e^{-1} - h_{e,c}^{-1}$. $d = 2$ is the spatial dimension of the equivalent Anderson model. The truncation of the Hilbert space to $2N$ -dimensional introduces a finite lattice size $2N$ in the momentum space, thus the finite-size scaling form is given by

$$D(h, t, N) = f(t/N^2, hN^{1/\nu}) \equiv f(x, y), \quad (28)$$

with $x = t/N^2$, and $y = hN^{1/\nu}$. f is a non-singular function of its arguments. In the simulations, we choose $t = N^2/4$, thus $x = 1/4$ is fixed, and expand f into the power series of y ,

$$f(x_0, y) = \sum_{k=0}^{k_{\max}} a_k y^k. \quad (29)$$

The expansion coefficients a_k 's, the critical point $h_{e,c}^{-1}$, and the critical exponent ν are free fitting parameters.

Applying the above finite-size scaling analysis, we find all data collapse onto a single smooth curve as a function of $\delta h N^{1/\nu}$ (see Fig. 3). The critical point h_e^{-1} , and the critical exponent $\nu = 2.62(9)$, in which the error is the standard error in the fitting procedure. This is consistent with that of the IQH plateau transition estimated with the Chalker-Coddington model, $\nu = 2.593(5)^{[21-23]}$, thus we confirm that the critical point of the spin-1/2 QKR model belongs to the universality class of the IQH plateau transitions. The critical exponent is analytically estimated in Ref. [14] as $\nu \approx 2.75$,

which is significantly larger than the numerical results. Such a discrepancy might be attributed to the weak-coupling approximation used in the analytical theory.

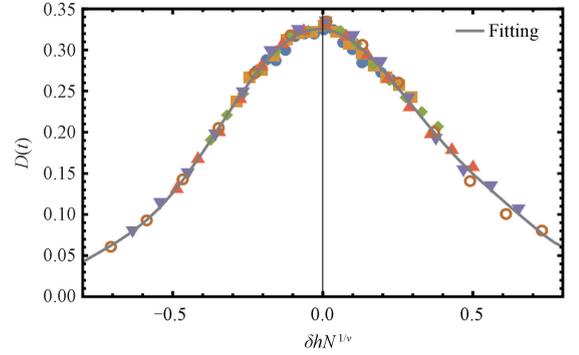


Fig. 3 Data collapse of the diffusion rate $D(t)$ in Fig. 2(b) according to finite size scaling form in Eq. (28)

3 Conclusion

To summarize, we have studied the spin-1/2 QKR model with extensive numerical simulations. By devising and applying the finite-size scaling analysis near the critical point between different dynamical localization phases, we obtain the numerical estimate of the critical exponent ν and the universal diffusion rate σ^* at the critical point. We confirm that the transition belongs to the universality class of the IQH plateau transition.

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